

Honeywell Docket No. H26787 US -4521 Practitioner's Docket No. 7037642001-3001370

CHAPTER II #5

### IN THE UNITED STATES ELECTED OFFICE (EO/US)

PCT/EP03/06534

16 December 2004

20 June 2003 (20.06.03)

(16.12.04)

International Application No.

**International Filing Date** 

**Priority Date Claimed** 

SILYL ALKYL ESTERS OF ANTHRACENE- AND PHENANTHRENE CARBOXYLIC ACIDS

**Title of Invention** 

Honeywell International Inc.; LEHMANN, Lutz Uwe; LONSKY, Ralph

Applicant(s)

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 ATTENTION: EO/US

# COMPLETION OF FILING REQUIREMENTS FOR INTERNATIONAL APPLICATION ENTERING U.S. NATIONAL STAGE IN U.S. ELECTED OFFICE (EO/US) UNDER 35 U.S.C. § 371

This replies to the Notice of Missing Requirements under 35 U.S.C. § 371 and 37 C.F.R. § 1.495 (FORM PCT/DO/EO/905).

#### **EXPRESS MAILING UNDER 37 C.F.R. § 1.10\***

(Express Mail label number is mandatory.)
(Express Mail certification is optional)

I hereby certify that this paper, along with any document referred to, is being deposited with the United States Postal Service on this date **September 6, 2005** in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 as "Express Mail Post Office to Addressee" Mailing Label No. **EV647123335US**.

Date: September 6, 2005

~EV647123335US

Kristin J. Azcona

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

<sup>\*</sup>WARNING: Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. 1.10(b).

<sup>&</sup>quot;Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

#### **DECLARATION OR OATH**

I. No original declaration or oath was filed. Enclosed is the original declaration or oath for this application.

#### **EXTENSION OF TIME**

II. The proceedings herein are for a patent application. Accordingly, the provisions of 37 C.F.R. § 1.136(a) apply.

Applicant believes that no extension of time is needed for the filing of this response. If an additional extension of time is required, please consider this a petition therefor.

#### **TOTAL FEE DUE**

**IV.** The total fee due is:

Completion fee(s) Additional Claim fee(s) Extension fee (if any) \$130.00 \$800.00 \$ 0.00

#### **TOTAL FEE DUE \$ 930.00**

#### **PAYMENT OF FEES**

V.

Authorization is hereby made to charge the amount of \$930.00 to Deposit Account No. 500977.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above.

A duplicate of this request is attached.

## **AUTHORIZATION TO CHARGE ADDITIONAL FEES**

- VI. Please charge, in the manner authorized above, the following additional fees that may be required by this paper and during the entire pendency of this application:
  - \* 37 C.F.R. § 1.492(a)(1), 1.492(a)(4) (filing fees)
  - \* 37 C.F.R. § 1.492(b), (c), and (d) (presentation of extra claims)
  - \* 37 C.F.R. § 1.17 (application processing fees)
  - \* 37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to section 1.136(a))

09/13/2003 GFREY1

00000133 500977 10518060

), 70:1617 32 F0:1615 130.00 DA 800.00 DA Date: September 6, 2005

Sandra P. Thompson

Reg. No. 46264 Buchalter Nemer, A Professional Corporation

18400 Von Karman, Suite 800

Irvine, CA 92612



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS PO. Dox 1450 Alexandra, Vinginia 22313-1450 www.uspib.gov

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/518,060 Lutz Lehmann H26787 US-4521

INTERNATIONAL APPLICATION NO.

23639 SPT BINGHAM, MCCUTCHEN LLP THREE EMBARCADERO CENTER 18 FLOOR SAN FRANCISCO, CA 94111-4067 AUG 1 2 2005 Line of the Combined Line observable

PCT/EP03/06534

I.A. FILING DATE PRIORITY DATE

06/20/2003 06/21/2002

CONFIRMATION NO. 3487
371 FORMALITIES LETTER
\*OC000000016668079\*

Date Mailed: 08/04/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/16/2004
- Copy of the International Search Report filed on 12/16/2004
- Copy of IPE Report filed on 12/16/2004
- Preliminary Amendments filed on 12/16/2004
- Information Disclosure Statements filed on 03/14/2005
- U.S. Basic National Fees filed on 12/16/2004
- Priority Documents filed on 12/16/2004

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$800 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$930 for a Large Entity:

Docket: 703764-2001 (7)
Action: Missing Requirement
Date Due: 1074105
3/4/06-F

- \$130 Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is \$ 800
  - **\$800** for **46** total claims over **20**.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

TAMALA D HOLLAND

Telephone: (703) 308-9140 EXT 209

# PART 1 - ATTORNEY/APPLICANT COPY

***************************************		
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO.
10/518,060	PCT/EP03/06534	H26787 US-4521

FORM PCT/DO/EO/905 (371 Formalities Notice)